ORIGINAL

ILLINOIS COMMERCE COMMISSION

WHEATON PARK DISTRICT, Wheaton, Illinois, an Illinois park district and unit of local government; COMMUNITY UNIT SCHOOL DISTRICT 200, a body politic and corporate, DuPage County, Illinois Petitioners VS.	FEB 1 7 2006 Illinois Commerce Commission RAIL SAFETY SECTION
UNION PACIFIC RAILROAD COMPANY, A Delaware corporation; NORTHEAST ILLINOIS REGIONAL TRANSPORTATION AUTHORITY and NORTHEASTERN REGIONAL COMMUTER RAILROAD CORPORATION, d/b/a Metra-Metropolitan Rail and the STATE OF ILLINOIS acting Through the Secretary of the Illinois Department of Transportation,	Docket No. T05-0037 Docket No. T05-0037 Docket No. T05-0037
Respondents.))
In the matter of a pedestrian/bicycle overpass) over the right-of-way of the Union Pacific Railroad Company in Wheaton, Illinois Approximately 3,600 feet east of County Farm Road))))

PETITION REQUESTING EXTENSION OF TIME

NOW COME the Petitioners, Wheaton Park District, Wheaton, Illinois, an Illinois park district and unit of local government, and Community Unit School District 200, DuPage County, Illinois, a body politic and corporate, being together referred to herein as the "Petitioners," before the Illinois Commerce Commission (the "Commission") with this petition for:

1) an extension of time to obtain additional funds to construct the Project over the right of way of the

DOCKETED

Union Pacific Railroad ("UPRR") in the City of Wheaton, and 2) an extension of time to complete the construction of the Project, and in support thereof state as follows:

- 1. On July 6, 2005, Petitioners filed a petition with the Commissioner requesting authorization to construct the Project.
- 2. On October 5, 2005, Petitioners appeared before the Commission at a hearing on such petition at the Commission's Chicago office.
- 3. On November 22, 2005 (the "Date of the Original Order"), the Commission entered an Order authorizing the Petitioners to construct the Project (the "Original Order").
- 4. Finding Paragraph 11 of the Original Order provided that "these improvements should be completed within twenty-four months of the date of this Order," that is, by November 22, 2007.
- 5. Attached hereto as **Exhibit A** is that portion of the Original Order which detailed the estimated cost of the Project and the sources of funding for the Project, based on Petitioners' best estimate of those costs at the time the Original Order was entered.
- 6. Pursuant to the original cost estimates shown on **Exhibit A**, the estimated cost of actual construction of the Project, not including "soft" costs, was \$2,540,000.
- 7. As required by the Illinois Department of Transportation ("IDOT"), the State of Illinois Agency which administers the Federal Highway Administration Funds from TEA-21, shown on Exhibit A, the bid for the construction of the Project was let pursuant to IDOT procedures, and on January 20, 2006, the bids for construction of the Project were posted on IDOT's website, a copy of the relevant pages of such website being attached hereto as Exhibit B.
 - 8. Per Exhibit B, the low bid for construction of the Project was \$3,342,282.52.
- 9. Since the date of the Original Order, Petitioner Wheaton Park District has received a Congestion Mitigation and Air Quality ("CMAQ") program grant, administered by the Chicago Area Transportation Study, in the amount of \$430,649.

- 10. Attached hereto as **Exhibit** C is a table indicating the current funds paid or available for the cost of the Project and the current estimated cost of the Project, demonstrating a funding shortfall of approximately \$490,021.
- Attached hereto as **Exhibit D** is an excerpt from a certain Intergovernmental Agreement for the Funding, Construction, Maintenance and Governance of a Bicycle/Pedestrian Overpass Over Union Pacific Railroad Company Property, and Connecting Bicycle/Pedestrian Trail, between the Petitioners (the "Intergovernmental Agreement"), a final draft of which Intergovernmental Agreement was attached to Petitioners' original petition as Exhibit K thereto, and a signed copy of which was presented at the hearing on the original petition as Petitioners' Exhibit 4, said excerpt providing that if certain preconditions have been met and upon completion of the bidding the cost of actual construction of the Project exceeds \$2,540,300.00, either of the Petitioners may elect to terminate the Intergovernmental Agreement and not build the Project, or they may mutually agree to set a deadline to obtain additional funds to construct the Project.
- 12. All of the conditions precedent to the commencement of construction of the Project, as required by Section 4.2 of the Intergovernmental Agreement, stated in **Exhibit D**, have occurred, and neither of the Petitioners has terminated the Intergovernmental Agreement pursuant to Section 4.3 thereof.
- 13. Both Petitioners have discussed the possibility of acting under Section 4.4 of the Intergovernmental Agreement to set a deadline to raise additional funds for the Project, provided, however, that Petitioner Community Unit School District 200 will not contribute any more to the Project than the \$191,806.75 required of it under Section 4.4 of the Intergovernmental Agreement.
- 14. The eighth paragraph of the ordering section of the Original Order provides as follows: "IT IS FURTHER ORDERED that if Petitioners Wheaton Park District and Community Unit School District 200, DuPage County, Illinois, determine there are inadequate funds to complete this project, they shall file a petition with the Director of Processing seeking a supplemental order vacating this order, or, in the alternative, requesting an extension of time to obtain additional funds and a corresponding extension

of time to complete the project. This petition shall be filed within thirty days of the date the Petitioners knew or reasonably should have known there were inadequate funds to complete this project."

- 15. This petition is being filed prior to February 20, 2006, which is the date that is thirty days of the date, (January 20, 2006) that Petitioners learned of the amount of the low bid though the bid posting on the IDOT website.
- 16. In the Original Order the Commission allocated \$240,000 from the Grade Crossing Protection Fund ("GCPF") to assist in meeting the estimated cost of the Project, and staff of the Commission has indicated to Petitioners that additional Grade Crossing Protection Fund monies in the amount of \$490,000 may be available toward the cost of construction of the Project to fund the shortfall between the existing available Project funding and the amount of the low bid. Accordingly, Petitioners reasonably believe that the necessary additional funds can be raised by July 1, 2006 and are requesting that such additional funds be provided through an allocation by the Commission from the GCPF of an additional \$490,000.
- 17. Commission review of Petitioners' request for the additional GCPF monies will delay contract award and therefore Petitioners believe a six month extension of time to complete the Project is warranted.
- 18. UPRR is aware of the aforesaid circumstances, has reviewed this Petition for Extension of Time and has indicated to the Petitioners that UPRR is in favor of the Commission granting such extension of time. A letter in support of such extension is attached to this Petition as **Exhibit E**.

WHEREFORE, Petitioners pray that the Commission enter an order (the "Amended Order"):

- A. amending the Original Order to provide that the construction of the Project shall occur by May 22, 2008, being six months after the date of completion now required by the Original Order; and
- B. amending finding paragraph 9 of the Original Order to read as follows:

"The total estimated cost for the project is \$3,844,069.52, of which \$1,881,000 will be paid through U.S. Federal Highway Administration funds from the Transportation Equity Act for the 21st Century ("TEA-

- 21"). The remaining local match will be the responsibility of Petitioners Wheaton Park District and Community Unit School District 200 and the parties and other entities identified in the table showing Current Funds Available and Current Estimated Costs (the "Non-Petitioner Contributors"), below, to the extent described in such Table, such Non-Petitioner Contributors having entered into intergovernmental and railroad agreements with one or both Petitioners; to assist in meeting the cost of construction \$730,000 from the Grade Crossing Protection Fund should be allocated with this Order."
- C. amending the Original Order to delete the "Elements of Cost (July 2004)," 'COST DIVISION TABLE,' and "LOCAL MATCHING FUNDS TABLE" after finding paragraph 9 of the Original Order, and substituting therefor Exhibit C attached to this Petition, and titling such table "Current Funds Available and Current Estimated Costs"; and
- D. ordering the Petitioners to file a status report on funding and price issues concerning the Project, with the Director of Processing and Information of the Commission's Transportation Bureau, on a date three months from the date of the Amended Order;
- E. providing that all other provisions of the Original Order, not amended by the Amended Order, shall remain in effect.

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Respectfully Submitted,

and unit of local government

ATTEST:

By:_

Robert G. Robinson, Secretary Board of Commissioners Wheaton Park District By:_

Jeffrey R. Cook, President

Board of Commissioners Wheaton Park District

ATTE

Barbara Intihar, Secretary

Board of Education of

Community Unit School District 200

COMMUNITY UNIT SCHOOL DISTRICT 200, DuPage County, Illinois, a body politic and corporate

WHEATON PARK DISTRICT, an Illinois park district

sy: Andria

Andrew Johnson, Bresident

Board of Education of

Community Unit School District 200

<u>VERIFICATION</u> BY PETITIONER WHEATON PARK DISTRICT

STATE OF ILLINOIS)	
)	SS:
COUNTY OF DUPAGE)	

Robert M. Sperl, being first duly sworn on oath, deposes and states that he is the Superintendent of Planning for the Petitioner Wheaton Park District in the foregoing cause; that he has read the foregoing Petition and that facts contained therein are true and correct to the best of his/her knowledge, information and belief.

Robert M. Sperl

SUBSCRIBED AND SWORN TO

before me this $\frac{7}{4}$ day

Notary Public

OFFICIAL SEAL
ELLEN W HUBER
NOTARY PUBLIC STATE OF ILLINOIS
MY COMMISSION EXP. MAY 26,2006

<u>VERIFICATION</u> BY PETITIONER COMMUNITY UNIT SCHOOL DISTRICT 200 DU PAGE COUNTY, ILLINOIS

STATE OF ILLINOIS)	
)	SS:
COUNTY OF DUPAGE)	

Dr. Gary Catalani, being first duly sworn on oath, deposes and states he is the Superintendent of Schools for the Petitioner Community Unit School District 200 in the foregoing cause; that he has read the foregoing Petition and that facts contained therein are true and correct to the best of his/her knowledge, information and belief.

Dr. Gary Catalani

SUBSCRIBED AND SWORN TO

before me this 8 day

May Son Sindu Notary Public

"OFFICIAL SEAL"

MARY LOU SENDER

NOTARY PUBLIC, STATE OF ILLINOIS

MY COMMISSION EXPIRES 09/26/09

CERTIFICATE OF SERVICE

The undersigned on oath states that a copy of the foregoing Petition was served by depositing true and correct copies of same in the U.S. Mail chute, with proper postage prepaid located at Chicago, Illinois, before 5:00 p.m. on the 14th day of February, 2006 to the following individuals:

James C. Slifer
Director of Highways
Illinois Department of Transportation
2300 South Dirksen Parkway
Springfield, Illinois 62764

Mack H. Shumate, Esq. Union Pacific Railroad Company 101 North Wacker Drive Chicago, Illinois 60606

Thomas Andryuk Field Manager, Engineering Union Pacific Railroad Company 500 West Madison Street, Suite 3610 Chicago, Illinois 60661

Michael Noland METRA 547 W. Jackson Blvd. Chicago, IL 60661

William Tupper METRA 547 West Jackson Chicago, IL 60611 Victor A. Modeer
Director of Highways
Illinois Department of Transportation
ATTN: JEFF HARPRING, ROOM 205
2300 South Dirksen Parkway
Springfield, IL 63764

Ellen J. Schanzle-Haskins Chief Counsel Illinois Department of Transportation 2300 South Dirksen Parkway, Room 300 Springfield, IL 62764

CT Corporation System Union Pacific Railroad Company 208 S. LaSalle Street Chicago, IL 60604

Dave McKernan Union Pacific Railroad Company 100 North Broadway #1500 St. Louis, MO 63102

Charles F. Tokarski DuPage County Highway Engineer 421 North County Farm Road Wheaton, IL 60187

Charlene L. Holtz

One of the Attorneys for Petitioners

Wheaton Park District and

Community Unit School District 200,

DuPage County, Illinois

EXHIBIT LIST

Exhibit A	Portion of Commission Order Entered 11/22/05 Re Estimated Cost and Sources of Funding
Exhibit B	Portion of Illinois Department of Transportation Website Showing Bids
Exhibit C	Table Showing Current Funds Available, Current Estimated Costs and Funding Shortfall
Exhibit D	Excerpt from Intergovernmental Agreement Demonstrating Petitioners' Right to Terminate or Set Deadline for Additional Funds.
<u>Exhibit E</u>	Support Letter from Union Pacific Railroad

EXHIBIT A

Portion of Commission Order Entered 11/22/05 Re Estimated Cost and Sources of Funding

Elements of Cost (July 2004)

Pedestrian/Bicycle Overpass at UPRR: Approx. 3600' east of County Farm Road

Construction	\$2,540,000	
Phase I Type, Size, Location	\$50,326	(Paid)
Supplemental Soil Borings	\$11,138	(Paid)
Phase II Design	\$183,126	(Paid)
Supplemental Soil Borings	\$13,421	(Paid)
Construction Supervision	\$90,000	
Total Estimated Cost	\$2,888,311	

Notes:

1. There are no separate costs associated with right-of-way or railroad force account work. A Railroad Right of Entry Agreement will be required of the Contractor, with a \$500 Administrative Fee, and up to \$5000 in safety work (other than flagging) if required during construction.

COST DIVISION TABLE

Pedestrian/Bicycle Overpass at UPRR: Approx. 3600' east of County Farm Road

Funding Source	Committed	Percentage	Paid to Date For PH I & II
Federal Highway Administration Funds (TEA-21) (Not to Exceed Amount)	\$1,881,000	64%	\$206,411
Grade Crossing Protection Fund (Not to Exceed)	\$240,000	8.2%	\$0
Illinois Department of Natural Resources Bikeway Grant (Not to Exceed)	\$200,000	6.8%	\$0
Local Matching Funds (see table below)	\$602,400	21%	\$51,600
Total Funding from All Sources	\$2,923,400	100%	\$258,011

LOCAL MATCHING FUNDS TABLE Committed and Paid to Date to Park District

Agency	Committed	Percentage	Paid to PD
Wheaton Park District and	\$191,806 ¹	6.6%	\$50,000
Community Unit School District 200	\$191,806 ¹	6.6%	\$50,000
DuPage County (By Agreement)	\$115,000	4%	\$25,000
City of Wheaton (By Agreement)	\$50,000	1.7%	\$13,000
Union Pacific Railroad Company	\$41,400 ²	1.4%	\$0
Milton Township	\$12,000	<1%	\$12,000
DuPage County Fair Association	\$388	<1%	\$388
Totals	\$602,400	21%	\$150,388

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	(A)	
	(Approx.)	
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Notes:

- 1. Includes at total of \$103,612 split 50/50 between the Wheaton Park District and Community Unit School District 200 to cover an initial funding shortfall in 2002. Dependent upon construction bids, and potential CMAQ funding, this amount may increase or decrease.
- 2. The UPRR will also provide flagging at no cost for the construction of the project.

<u>EXHIBIT B</u> <u>Portion of Illinois Department of Transportation Website Showing Bids</u>

See Attached

3,919,611.31

2779 Illinois Constructors Corporation 39W866 Fabyan Parkway Batavia, IL 60510 P.O. Box 745 St. Charles, IL 60174-0745 3,342,282.52 3243 Kovilic Construction Company, Inc. 3721 N. Carnation St. P.O. Box 939 Franklin Park, IL 60131-0939 3356 Landmark Contractors, Inc. 11916 W. Main Street P.O. Box 1104 Huntley, IL 60142 3505 Lorig Construction Company 250 East Touhy Ave. Des Plaines, IL 60018 4,228,257.45 3702 Martam Construction Incorporated 1200 Gasket Drive Elgin, IL 60120 4,441,897.60 5570 Sjostrom & Sons, Inc. 1129 Harrison Avenue (61104) P.O. Box 5766 Rockford, IL 61125-0766 3,968,801.19 **************** 096 CONTRACT: 91353 DISTRICT: 07 COUNTY: COLES KEY RT: FAS 642 MARKED RT: CH 18 SECTION: 99-00124-02-PV EST: (NO) PROJECT: HPD-1037/003/000 NBR FOR BID PROPOSALS ISSUED: 4 NBR FOR BID PROPOSALS RECEIVED: The projects consists of the construction of a diamond interchange with FAI Route 57 and the construction of a two-lane roadway with open ditch drainage from Township Road 700E to 900E including electrical work, earth excavation, furnishing and erecting precast prestressed concrete bulb t-beams 63", PCC payement, bridge approach work all other incidental work to complete the project in Coles County. AS READ BID CORRECTED BID BIDR 1900 Freesen, Inc. 3151 Robbins Road (6270 P.O. Box 13420 Springfield, IL 62791 11,370,670.51 2314 Halverson Construction Co., Inc. & Beniach Construction Co., Inc.

As Read Tabulation of Bids January 20, 2006 Letting

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620 N. 19th St. (62702)

EXHIBIT C <u>Table Showing Current Funds Available, Current Estimated Costs and Funding Shortfall</u>

Union Pacific Pedestria	an Overpass
Summary of Revenues and Expenses - January 200	06
Revenue Sources	
Grants/State and Federal Funding	
TEA-21	\$1,881,000 67%
Bikeways Grant	\$200,000 7.3%
ICC/GCPF (received 11/05)	\$240,000 8.6%
CMAQ (received 12/05)	\$430,649* 15.5%
UPRR	\$41,400 1.6%
Subtotal	\$2,793,049, 83.3% of Revenue Sources
Local/Community Funding	
Wheaton Park District	\$191,806 34.2%
District 200	\$191,806 34.2%
DuPage County	\$115,000 20.5%
City of Wheaton	\$50,000 8.9%
Milton Township	\$12,000 2.1%
County Fair Assoc.	\$388 .1%
Subtotal	\$561,000, 16.7% of Revenue Sources
Total	\$3,354,049
Expenditures Paid to Date	
Phase 1 Pre-engineering, Cemcon	\$61,464**
Phase 2 Construction Documents - Cemcon	\$196,547**
Permitting	
Banking Fee with DuPage County	\$69,125***
City of Wheaton	\$2,000****
DEC - resub	\$460****
Subtotal	\$329,596
Expenditures Remaining	
Phase 3 Engineering-Cemcon	\$172,191****
Construction Estimate per Cemcon \$2,824,501	
Low Bid for Construction as read 1/20/06	\$3,342,282.52
Subtotal	\$3,514,473.52
Current Total Projected Expenditures	\$3,844,069.52
Current Projected Shortfall	\$490,021
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*The CMAQ grant was received after the Date of the Order and was not included in the tables in the Order.

**These figures are the sum of what was called in the tables of the Order, "Phase I Type, Size, Location" and "Supplemental Soil Borings" (\$50,326 plus \$11,138) and "Phase II Design and Supplemental Soil Borings" (\$183,126 plus \$13,421).

***The Project will impact an existing quarter acre of wetland. In order to obtain all necessary permits a wetland banking was required to be paid to DuPage County; since mitigation of existing wetlands was not possible, this fee may be reimbursed if the Project is not constructed. This was not anticipated at the time the Order was entered.

****These are permitting fees; the bidding process with IDOT could not go forward unless a permit was obtained; this was not anticipated at the time the Order was entered.

*****This is Cemcon's actual cost for Phase 3 construction supervision; the \$90,000 which was in the order was an earlier figure that did not factor in IDOT's requirements for construction supervision.

Note: The Wheaton Park District believes that provision for a 10% contingency in the Project budget would also be prudent given past experience with changes in IDOT construction requirements and the possibility that other costs which could not reasonably be anticipated may arise in the future. The Wheaton Park District intends to make such provision by encumbering the necessary funds as a line item within its own operating budget until Project completion.

EXHIBIT D

Excerpt from Intergovernmental Agreement Demonstrating Petitioners' Right to Terminate or Set

Deadline for Additional Funds.

- 4.2 All of the following shall be conditions precedent to the commencement of construction of the Project and to the existence of the Original Parties' Shortfall Funding Contribution Option, as hereinafter defined:
 - 4.2.1 A satisfactory agreement has been entered into among the Original Parties and the Railroad regarding an air rights license for a portion of the Overpass Structure, a temporary license from the Railroad to construct the Overpass Structure utilizing and over the Railroad right of way, a permanent license for placement and repair of fencing north of the Railroad right of way, and indemnifying the Railroad for costs incurred by the Railroad caused by activities on the Project, and containing such insurance and indemnification provisions as may be acceptable to the Original Parties (the "Railroad Agreement").
 - 4.2.2 Any necessary local, state and county permits, agreements, court or administrative orders or easements required for the construction of the Project, as determined by the Park, shall have been obtained and entered into, including but not limited to the following:
 - a) an order from the Illinois Commerce Commission (the "ICC") permitting the crossing of the Railroad right of way by the Overpass Structure;
 - b) any governmental permits required for construction; and
 - c) the Temporary Construction Easement Agreement with the City of Wheaton.
 - 4.3 If, after the completion of the bidding portion of Phase III of the Project, the total cost of the actual construction of the Project, not including construction supervision, exceeds \$2,540,300.00, then either of the Original Parties, may, on notice to the other Original Party and all Non-Party Contributors, elect to terminate this Agreement, as of a date not less than ten (10) days after the date specified in such notice, and any funds held by the Park, as described in Paragraph 5.3 of this Agreement, shall be distributed pursuant to Paragraph 9.4 of this Agreement.
 - 4.4 If this Agreement is not terminated pursuant to Paragraph 4.3 of this Agreement, and all of the conditions precedent to commencement of construction described in Paragraph 4.2 of this Agreement have occurred, the "Original Parties' Shortfall Funding Contribution Option" shall arise. The "Original Parties' Shortfall Funding Contribution Option "means an option of each of the Original Parties, by a deadline mutually determined by the School and the

Park, to make, or cause the Original Non-Party Contributors or other Non-Party Contributors to make, contributions of funds which, when added to funds paid or to be paid from or through IDOT, ICC and the Illinois Department of Natural Resources, are adequate to pay for the cost of construction and construction supervision of the Project (the "Required Local Agency Total"), provided, however, that the maximum required total contribution of each Original Party, as opposed to additional contributions which may be made pursuant to the Original Parties' Shortfall Funding Contribution Option, including all hard and soft costs, shall not exceed \$191,806.75, as calculated on **Schedule 4** attached to and incorporated by reference into this Agreement. The estimated current cost of the Project and the estimated funding from all sources is described on Schedule 5 attached to and incorporated by reference into this Agreement. As part of the Original Parties' Shortfall Funding Contribution Option, the Original Parties shall discuss with each other the equal contributions which may be made by the Park and the School and the reasonable efforts to be made by the Park and the School to seek funding from Non-Party Contributors. If the Required Local Agency Total is not contributed by the deadline, and neither Original Party agrees, in a writing delivered to the other Original Party, to contribute funds to achieve the Required Local Agency Total, either of the Original Parties may, on notice to the other Original Party and all Non-Party Contributors, elect to terminate this Agreement, as of a date not less than ten (10) days after the date specified in such notice, and any funds held by the Park pursuant to Paragraph 5.3 of this Agreement shall be distributed pursuant to Paragraph 9.4 of this Agreement.

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February 2, 2006

Carol L. Gloor, Esq.
Attorney for Petitioners
Wheaton Park District and
Community Unit School District 200
DuPage County, Illinois
FRIEDMAN & HOLTZ
11 East Adams Street, Suite 1600
Chicago, IL 60603

Illinois Commerce Commission 527 East Capitol Avenue Springfield, IL 62701

Re: Wheaton Park District v. Union Pacific Railroad Company, et al., Docket No. T05-0037
Petition Requesting Extension of Time

Dear Gentle Persons:

The Union Pacific Railroad Company as a Respondent in the above-referenced matter, has reviewed the Petitioner's Petition Requesting Extension of Time (the "Petition") and for the record hereby states that it is in support of the Petition and requests that the Illinois Commerce Commission grant the Petition.

Very truly yours

Mack H. Shumate, Jr.

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Mack H. Shumate, Jr. Senior General Attorney, Law Department

UNION PACIFIC RAILROAD101 N. Wacker Dr., Rm. 1920, Chicago, 1L 60606-1718 ph. (312) 777-2055 fx. (312) 777-2065